S/N.F 02/808,945

3/5/2004

Docket No.: KAW-247-USAP

MAR 0 5 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Seria No.: 09/808,945

Confirmation No.: 5294

Applicant: Toshiaki KATSUMA

Art Unit: 2655

Filed: March 16, 2001

Examiner: Patel, Gautam

Docket No: KAW-247-USAP

Customer No: 28892

For: DIFFRACTION TYPE LENS AND OPTICAL PICKUP APPARATUS USING THE

SAME

## **TRANSMITTAL**

**RECEIVED** 

MAR 0 9 2004

US Patent & Trademark Office 2011 South Clark Place Customer Window, Mail Stop: <u>Non Fee Amendment</u> Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Technology Center 2600

Sir:	TRANSMITTED HEREWITE A RESPONSE,	X AN AMENDMENT,	AN AMENDMENT AFTER FINAL									
IN '	IN THE ABOVE-IDENTIFIED APPLICATION.											
	Small entity status of this application under 37 CFR §1.9(f) is established											
	by a verified stateme	ent under 37 CFR §1.27	previously submitted.									
			submitted herewith.									
х	No additional fee is	required, as shown below.										

CLAIMS PEND	CLAIMS		NEW CLAIMS EXTRA		ADDITIONAL FEES				
AFTER AMENDMENT		PREVIOUSLY PAID			SMALL ENTITY		OTHER THAN SMALL ENTITY		
TOTAL	5	MINUS	20	=	0	x\$9=	0.00	x\$18=	0.00
INDEP	2	MINUS	3	=	0	x43=	0.00	x\$86=	0.00
NEW MULTIPLE DEPENDENT CLAIMS 0						x\$145=	0.00	x\$290=	0.00
AND CLAIMS DEPENDENT THEREON						x\$145=	0.00	x\$290=	0.00
TOTAL ADDITIONAL FEE					0.00		0.00		

This amount is believed to be correct, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 19-2816. This authorization applies to both filing fees under 37 CFR §1.16 and processing fees (such as extension of time) under 37 CFR §1.17. A duplicate copy of this transmittal is attached.

Respectfully Submitted,

Ronald R. Snider

Registration No. 24,962

Date: March 5, 2004

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RRS/bam

3/5/2004

Docket No.: KAW-247-USAP

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.: 529

Applicant: Toshiaki KATSUMA

09/808,945

Art Unit: 265/5

Filed: March 16, 2001

Examiner: Patel, Gautam

Docket No: KAW-247-USAP

Customer No: 28892

For: DIFFRACTION TYPE LENS AND OPTICAL PICKUP APPARATUS USING THE

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MAR 0 9 2004

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Sir:

This paper is responsive to the Office Action dated December 9, 2003. Claims 1, 2 and 4 - 6 are pending in the application. Claims 1, 2, 5 and 6 are rejected and claim 4 has been objected to. The sole amendment in this paper is made to correct spacing in claim 1.

Amendments to the specification begin on page 2 of this paper.

Amendments to the claims are reflected in the listing of claims, which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.